

PTO/SB/08A (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 8 of 9

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Gerard H. Llanos et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0929

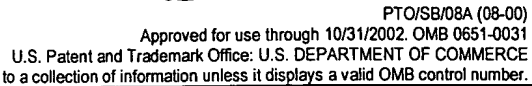
FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
KF		WO	98/56312	A1	SciMed Life Systems Inc.	12-17-1998		
		EP	0 938 878	A3	Rakos, et al.	09-01-1999		
		EP	0 938 878	A2	Rakos, et al.	09-01-1999		
		WO	97/33534	A1	Globerman et al.	09-18-1997		
		WO	98/47447	A1	Dubrul, W.	10-29-1998		
		WO	97/25000	A1	Chuter, Timothy A. M.	07-17-1997		
		EPO	734698	A2	Variomed AG	03-28-1996		
		EPO	800801	A1	Advanced Cardiovascular Systems Inc.	08-01-1996		
		EPO	830853	A1	Medinol Ltd.	07-03-1997		
		WO	96/26689	B1	Scimed Life Systems Inc.	09-06-1996		
		EPO	540290	A2	Advanced Cardiovascular Sys	10-27-1992		
		UK	1 205 743		Colin Charles Didcott	09-16-1970		
		DE	3205942	A1	Vasilev, Ljubomir, Dr.	09-08-1983		N
		FR	0 566 807	A1	Yarrow, Harold Edgar	04-24-1992		N
		GB	0 662 307	A2	Frank B. Dehn & Co	12-05-1951		
		WO	96/34580		Dibie, Alain	11-07-1996		
		WO	98/19628		Vardi, Gil M.	05-14-1998		
		EPO	604 022	A1	Advanced Cardiovascular Systems, Inc.	06-29-1994		
		EPO	621 015	A1	Schneider Europ AG (CH)	10-26-1994		
		EPO	623 354	A1	Medtronic, Inc.	11-09-1994		
		EPO	716 836	A1	Advanced Cardiovascular System	06-19-1996		
		EPO	734 721	A2	Advanced Cardiovascular System	10-02-1996		
KF		WO	91/12779	A1	Medtronic Inc.	09-05-1991		

Examiner Signature	Kathryn Llanos	Date Considered	11/26/02
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<i>First Named Inventor</i>	Gerard H. Llanos et al.
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<i>Attorney Docket Number</i>	CRD-0929

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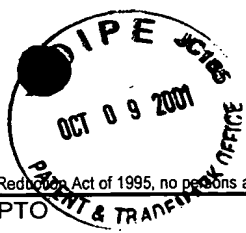
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		Number	Kind Code ² (if known)			
KF		D359,802		Fontaine	6/27/1995	
		3,932,627		Margraf	01-13-1976	
		4,441,216		Ionescu et al.	4/10/1984	
		4,580,568		Gianturco	4/8/1986	
		4,800,882		Gianturco	1/31/1989	
		4,856,516		Hillstead	8/15/1989	
		4,886,062		Wiktor	12/12/1989	
		4,907,336		Gianturco	3/13/1990	
		4,969,458		Wiktor	11/13/1990	
		4,990,131		Dardik	2/5/1991	
		4,990,155		Wilkoff	2/5/1991	
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		5,015,253		MacGregor	5/14/1991	
KF		6,120,536		Dinge et al.	09-19-2000	

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		Office ³ KindCode ⁵	Number ⁴					
KF		EP	0 712 615		Advanced Cardiovascular Systems Inc.	5/22/96		
		EP	0 716 836		Advanced Cardiovascular Systems Inc.	6/19/96		
		EP	0 761 251		Kabushikikaisha Igaki Iryo Sekkei	3/12/97		
		EP	0 850 651		Schneider Inc.	7/1/98		
		WO	96/32907		Schneider Inc.	10/24/96		
		WO	98/23228		Alza Corporation	6/4/98		
		WO	98/34669		Ensovasc Ltd. Inc.	8/13/98		

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		Number	Kind Code ² (if known)			
KF		5,035,706		Gianturco	7/30/1991	
		5,041,126		Gianturco	8/20/1991	
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		5,104,404		Wolff	4/14/1992	
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		5,131,908		Dardik et al.	7/21/1992	
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		5,222,971		Willard et al.	6/29/1993	
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		5,246,445		Yachia et al.	9/21/93	
		5,258,021		Duran	11/2/93	
		5,266,073		Wall	11/30/93	
		5,275,622		Lazarus et al.	1/4/94	
KF		5,282,823		Schwartz et al.	2/1/94	
		5,282,824		Gianturco	2/1/94	
		5,292,331		Boneau	3/8/94	
		5,304,200		Spaulding	4/19/94	

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Sheet 3 of 9

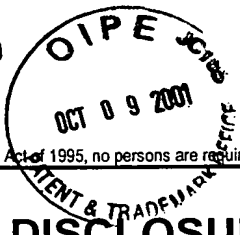
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KF		5,314,444		Gianturco	5/24/1994	
		5,314,472		Fontaine	5/24/1994	
		5,334,301		Heinke et al.	8/2/1994	
		5,342,348		Kaplan, A.	8/30/1994	
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		5,354,257		Roubin et al.	10/11/94	
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		5,366,504		Andersen et al.	11/22/94	
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		5,382,261		Palmaz	1/17/95	
		5,383,928		Scott et al.	1/24/1995	
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		5,395,390		Simon et al.	3/7/95	
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		5,403,341		Solar	4/4/95	
		5,405,377		Cragg	4/11/95	
		5,411,549		Peters	5/2/95	
		5,423,885		Williams	6/13/95	
		5,441,515		Khosravi et al.	8/15/95	
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		5,443,496		Schwartz et al.	8/22/95	

Examiner Signature	<i>Kathryn Fenko</i>	Date Considered	11/26/02
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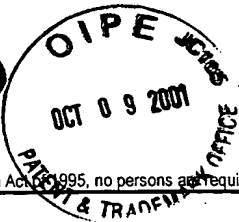
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		Number	Kind Code ² (if known)			
KF		5,443,498		Fontaine	8/22/95	
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		5,449,372		Schmaltz et al.	9/12/95	
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		5,510,077		Dinh et al.	4/23/96	
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		5,591,224		Schwartz et al.	1/7/97	
		5,591,227		Dinh et al.	1/7/97	
		5,599,352		Dinh et al.	2/4/97	
		5,603,722		Phan et al.	2/18/97	
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		5,607,475		Cahalan et al.	3/4/97	
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		5,624,411		Tuch, R.	4/29/97	
		5,628,785		Schwartz et al.	5/13/97	
		5,629,077		Turnlund et al.	5/13/97	
		5,632,840		Campbell, P.	5/27/97	

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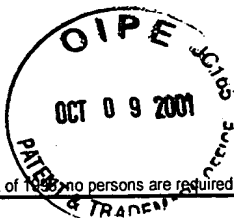
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		Number	Kind Code ² (if known)			
KF		5,632,763		Glastra, H.	5/27/97	
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		5,669,924		Shaknovich, A.	9/23/97	
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		5,799,384		Schwartz et al.	9/1/98	
		5,800,507		Schwartz, R.	9/1/98	
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		5,843,172		Yan, J.	12/1/98	
		5,849,034		Schwartz, R.	12/15/98	
		5,851,217		Wolff et al.	12/22/98	

Examiner Signature	Kathryn Ferko	Date Considered	11/26/02
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KF		5,851,231		Wolff et al.	12/22/98	
		5,861,027		Trapp, R.	1/19/99	
		5,865,814		Tuch, R.	2/2/99	
		5,871,535		Wolff et al.	2/16/99	
		5,879,697		Ding et al.	3/9/99	
		5,882,335		Leone et al.	3/16/99	
		5,820,917		Tuch, R.	10/13/98	
		5,837,313		Ding et al.	11/17/98	
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		3,657,744		Ersek	4/25/72	
		4,503,569		Dotter	3/12/85	
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		4,733,665		Palmaz	3/29/88	
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		5,195,984		Shalz	3/23/93	
		5,290,305		Inoue	3/1/94	
		5,421,955		Lau	6/6/95	
		5,496,365		Sgro	3/5/96	
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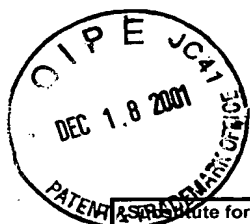
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KF		4,292,965		Nash et al.	10-06-1981	
		4,613,665		Larm	09-23-1986	
		4,655,771		Wallsten	04-07-1987	
		4,872,867		Joh	10-10-1989	
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		4,954,126		Wallsten	09-04-1990	
		5,053,048		Pinchuk	10-01-1991	
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		5,180,366		Woods	01-19-1993	
		5,182,317		Winters et al.	01-26-1993	
		5,185,408		Tang et al.	02-09-1993	
		5,226,913		Pinchuk	07-13-1993	
		5,258,020		Froix	11-02-1993	
		5,262,451		Winters et al.	11-16-1993	
		5,292,802		Rhee et al.	03-08-1994	
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		5,356,433		Rowland et al.	10-18-1994	
KF		5,380,299		Fearnot et al.	01-10-1995	
		5,415,619		Lee et al.	05-16-1995	
		5,419,760		Narciso, Jr.	05-30-1995	
		5,429,618		Keogh	07-04-1995	
		5,447,724		Heimus et al.	09-05-1995	
		6,214,901	B1	Chudzik et al.	04-10-2001	
		6,258,121	B1	Yang et al.	07-10-2001	
		2001/0007083	A1	Roorda (PATENT APP. PUBLICATION)	07-05-2001	



#3

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 1

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Gérard H. Llanos et al.
Group Art Unit	3763
Examiner Name	
Attorney Docket Number	CRD-0929

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
KF		6,299,604	B1	Ragheb et al.	10-09-2001	
		6,306,166	B1	Barry et al.	10-23-2001	
		6,306,176	B1	Whitbourne	10-23-2001	
		6,309,380	B1	Larson et al.	10-30-2001	
		6,309,660	B1	Hsu et al.	10-30-2001	
		20010029660	A1	Johnson, Michael W.	10-18-2001	
		20010032014	A1	Yang, Dachuan et al.	10-18-2001	
		20010034363	A1	Li, Chun et al.	10-25-2001	
KF		20010037145	A1	Guruwaiya, Judy A. et al.	11-01-2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				

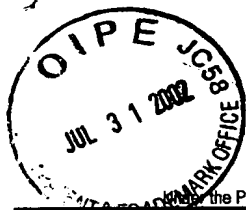
Examiner Signature	Kathryn Fenko	Date Considered	11/26/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
KF		4,739,762		Palmaz, J.C.	04/26/88	
		4,776,337		Palmaz, J.C.	10/11/88	
		5,041,100		Rowland, S.M. et al.	8/20/91	
		5,049,132		Shaffer, M.A. et al.	9/17/91	
		5,061,750		Feijen, J. et al.	10/29/91	
		5,134,192		Feijen, J. et al.	7/28/92	
		5,213,576		Abiuso, C.L. et al.	5/25/93	
		5,306,250		March, K.L. et al.	4/26/94	
		5,308,862		Ohlstein, E.H.	5/3/94	
		5,328,471		Slepian, M.J.	7/12/94	
KF		5,336,518		Pallassana, V.N. et al.	8/9/94	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵				

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Examiner Signature	Kathryn Felko	Date Considered	11/26/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
KF		5,342,621		Eury, R.P.	8/30/94	
		5,368,566		Crocker, M.	11/29/94	
		5,393,772		Yue, T.L. et al.	2/28/95	
		5,409,696		Narayanan, P.V. et al.	4/25/95	
		5,429,634		Narcisco, H.L.	7/4/95	
		5,439,446		Barry, J.	8/8/95	
		5,441,947		Dodge, J.A. et al.	8/15/95	
		5,443,458		Eury, R.P.	8/22/95	
		5,486,357		Narayanan, P.V.	1/23/96	
		5,523,092		Hanson, S.R. et al.	6/4/96	
KF		5,551,954		Buscemi, P.J. et al.	9/3/96	
		5,556,413		Lam, S.	9/17/96	

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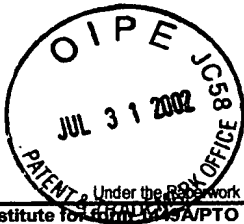
Examiner Signature	Kathryn Felco	Date Considered	11/26/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
KF		5,569,197		Helmus, M.N. et al.	10/29/96	
		5,574,059		Regunathan, S. et al.	11/12/96	
		5,580,873		Bianco, J.A. et al.	12/3/96	
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		5,591,140		Narayanan, P.V. et al.	1/7/97	
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		5,622,975		Singh, J.P. et al.	4/22/97	
		5,629,315		Bianco, J.A. et al.	5/13/97	
		5,643,939		Ohlstein, E.H.	7/1/97	
KF		5,648,357		Bianco, J.A. et al.	7/15/97	
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		Office ³	Number ⁴	Kind Code ⁵				

Examiner Signature	Nathyn Ferko	Date Considered	11/26/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
KE		5,651,174		Schwartz, R.S. et al.	7/29/97	
		5,652,243		Bianco, J.A. et al.	7/29/97	
		5,653,992		Bezwada, R.S. et al.	8/5/97	
		5,662,609		Slepian, M.J.	9/2/97	
		5,665,728		Morris, R.E. et al.	9/9/97	
		5,670,506		Leigh, A. et al.	9/23/97	
		5,709,874		Hanson, S.R. et al.	1/20/98	
		5,725,549		Lam, S.	3/10/98	
		5,731,326		Hart, C.E. et al.	3/24/98	
		5,733,920		Mansuri, M.M. et al.	3/31/98	
KE		5,733,925		Kunz, L.L. et al.	3/31/98	
		5,739,138		Bianco, J.A. et al.	4/14/98	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				

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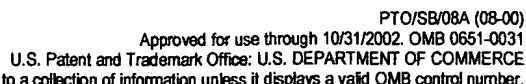
Examiner Signature	Kathryn Ferko	Date Considered	11/26/02
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Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

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Sheet 5 of 8

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
BF		5,769,883		Buscemi, P.J. et al.	6/23/98	
		5,776,184		Tuch, R.J.	7/7/98	
		5,780,476		Underiner, G.E. et al.	7/14/98	
		5,782,908		Cahalan, L.L. et al.	7/21/98	
		5,788,979		Alt, E.	8/4/98	
		5,792,772		Bianco, J.A. et al.	8/11/98	
		5,798,372		Davies, P.A. et al.	8/25/98	
		5,807,861		Klein, J.P. et al.	9/15/98	
		5,811,447		Kunz, L.L. et al.	9/22/98	
		5,858,990		Walsh, K.	1/12/99	
KF		5,873,904		Ragheb, A.O. et al.	2/23/99	
		5,876,433		Lunn, A.C.	3/2/99	

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[illegible]

Examiner Signature	Kathryn Felco	Date Considered	11/26/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 6 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

U.S. PATENT DOCUMENTS

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KF		5,891,108		Leone, J.E. et al.	4/6/99	
		5,900,246		Lambert, T.L.	5/4/99	
		5,902,266		Leone, J.E. et al.	5/11/99	
		5,951,586		Berg, E.P. et al.	9/14/99	
		5,957,971		Schwartz, R.S.	9/28/99	
		5,972,027		Johnson, M.W.	10/26/99	
		5,976,534		Hart, C.E. et al.	11/2/99	
		5,977,163		Li, C. et al.	11/2/99	
		5,980,553		Gray, L.B. et al.	11/9/99	
		5,980,566		Alt, E. et al.	11/9/99	
KF		5,980,972		Ding N.	11/9/99	
		5,981,568		Kunz, L.L. et al.	11/9/99	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 7 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

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		6,004,346		Wolff, R.G. et al.	12/21/99	
		6,039,721		Johnson, K. et al.	3/21/00	
		6,059,813		Vrba, A.C. et al.	5/9/00	
		6,071,305		Brown, J.E. et al.	6/6/00	
		6,074,659		Kunz, L.L. et al.	6/13/00	
		6,080,190		Schwartz, R.S.	6/27/00	
		6,096,070		Ragheb, A.O. et al.	8/1/00	
		6,136,798		Cody, W.L., et al.	10/24/00	
KF		6,140,127		Sprague, E.A.	10/31/00	
		6,146,358		Rowe, S.J.	11/14/00	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				

Examiner Signature	Kathryn Ferko	Date Considered	11/26/02
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ⁶ If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 8 of 8

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	Llanos, Gerard H.
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-929

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
KF		6,153,252		Hossainy, S.F. et al.	11/28/00	
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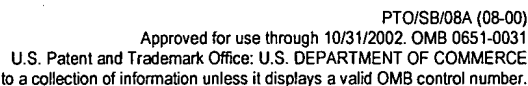
Examiner Signature	Kathleen Felko	Date Considered	11/26/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number	09/887,464
Filing Date	June 22, 2001
First Named Inventor	G.H. Llanos et al.
Group Art Unit	3743
Examiner Name	Ferko, Kathryn P.
Attorney Docket Number	CRD-929

[illegible]

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		Office ³	Number ⁴	KindCode ⁵				
KF		EP	0 568 310	A	American Home Products Corp.	11/03/1993		
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KF		WO	00/21584	A	Scimed Life Systems, Inc.	04/20/2000		

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Examiner Signature	Kathryn E. K.	Date Considered	11/26/02
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